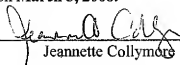


CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being filed electronically with the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on **March 3, 2008**.



Jeannette Collymore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas Peterffy, et al.
Serial No: 10/697,851
Filing Date: October 30, 2003

Examiner: Bijendra K. SHRESTHA
Art Unit: 3691
Confirmation No. 9758

Title: PRICE IMPROVEMENT PROCESSOR FOR ELECTRONIC TRADING
OF FINANCIAL INSTRUMENTS

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To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE (A) TO OFFICE ACTION

Sir:

In response to the Office Action dated October 2, 2007, Applicant submits the following amendment and response. A petition for a two-month extension of time under 37 CFR 1.136(a) is also enclosed herewith.

Amendments to the claims begin on page 3 of this paper.

Remarks begin on page 11 of this paper.

Claims 1-20 are currently pending in the application. Claims 1-20 are rejected under 35 U.S.C. § 102(e).

Through the amendments and the Remarks that follow, the rejections of claims 1-20 above are overcome.

In the event that extensions of time are necessary, such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required for consideration of this paper (including fees for net addition of claims) are authorized to be charged to our **Deposit Account No. 03-2410, Ref. No. 30894-101**.